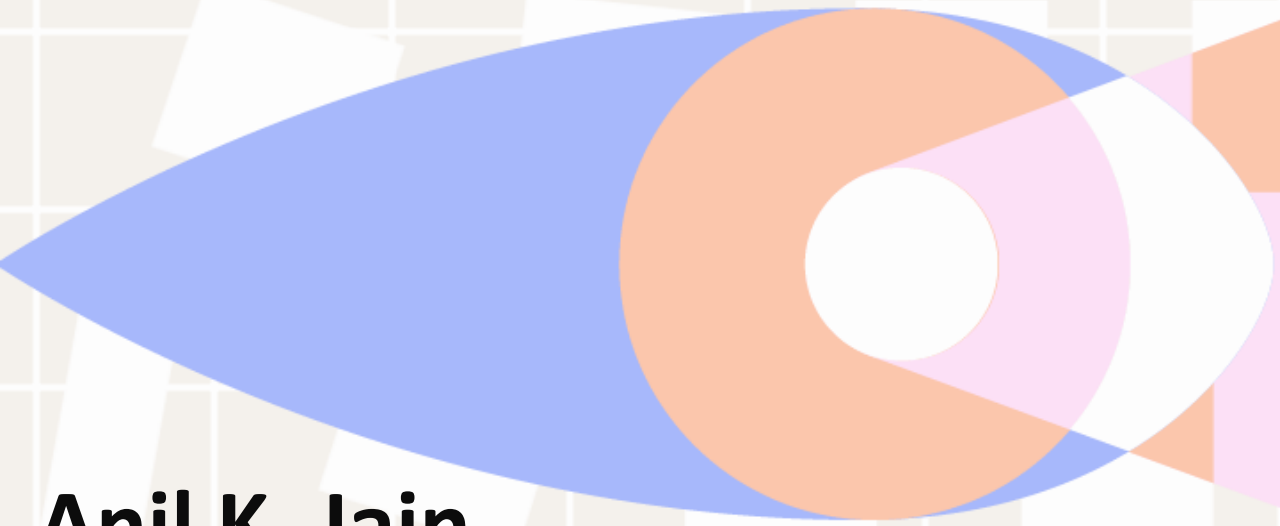


# Pattern Recognition: Statistics to Deep Networks



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**Michigan State University**

**Beijing Academy of AI (BAAI) Annual Conference, June 21-23, 2020**

# Outline

- **Beginning of AI**
- **Alphabet soup: AI, PR, NN, DM, DS, ML, DNN,...**
- **Statistics to deep networks**
- **Face recognition**
- **Privacy concerns**
- **Next decade of AI**

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# Artificial Intelligence (AI)

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- .... making a machine behave in ways that would be called intelligent if a human were so behaving.

**McCarthy, Minsky, Rochester & Shannon, 1956**

- Turing test (1951) , “imitation game”, tests if a computer can successfully pretend to be a human in a dialogue via screen & keyboard. *Dictionary.com*



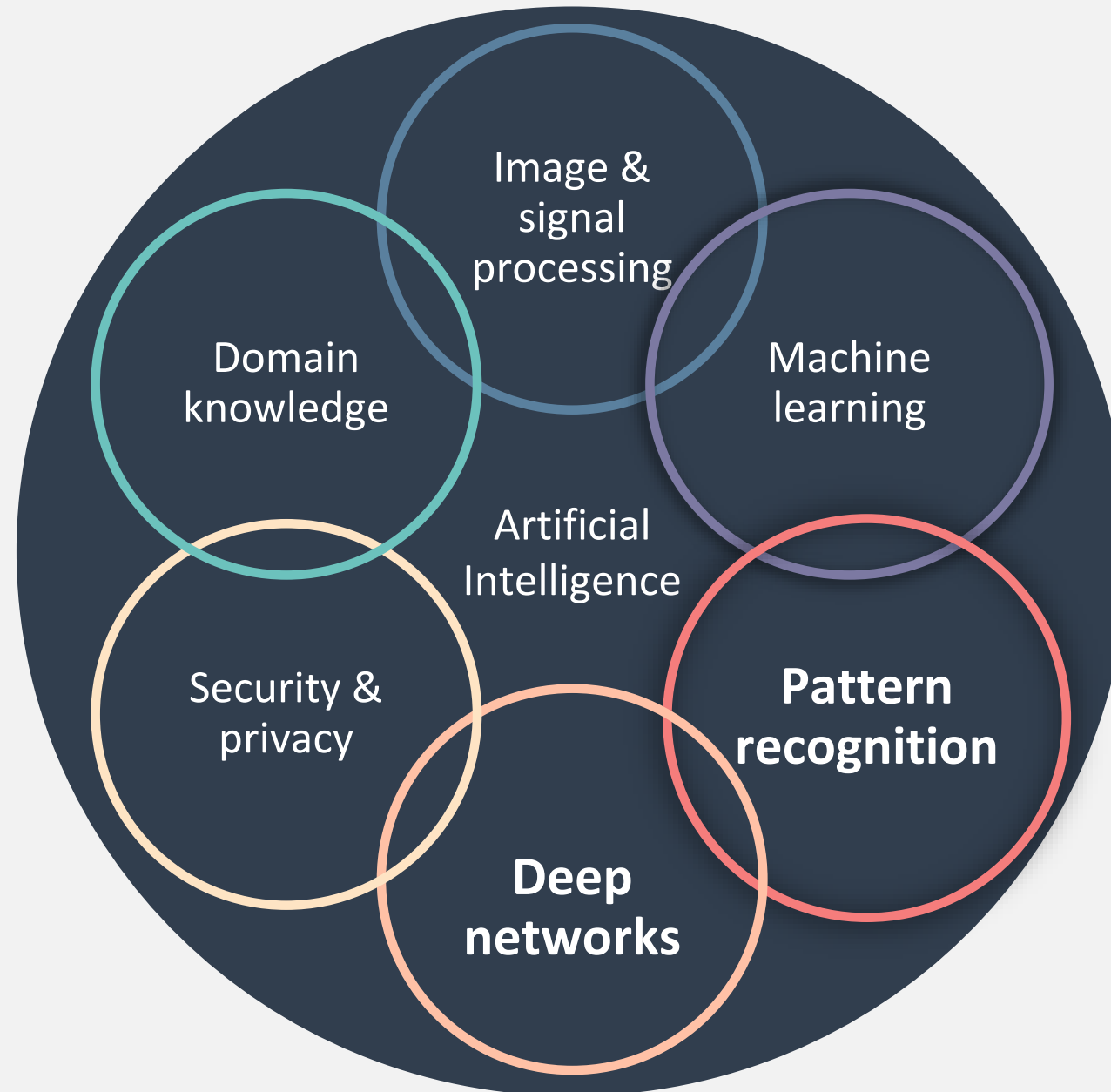
# Pattern Recognition

*By pattern recognition we mean the extraction of the significant features from a background of irrelevant detail. ... it is the kind of thing that brains seem to do very well...that computing machines do not do very well yet. O. G. Selfridge, 1955*

**AI: General-purpose intelligence; P.R.: Domain-specific intelligence**

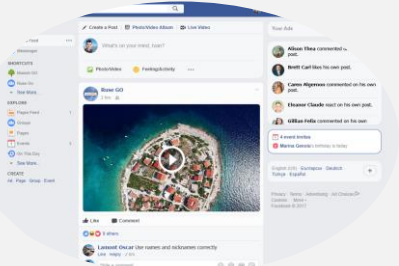
Selfridge, "Pattern recognition and modern computers." In Proceedings of the Western Joint Computer Conf, pp. 91-93. March 1-3, 1955.

# Artificial Intelligence: Many Facets



# Most-Influential Technologies

Facebook's News Feed



2007

Facebook's Instagram



2011

Tesla's Model S



2012

Ring's Doorbell



2013

Amazon Alexa



2014

Apple FaceID



2017

2006



Netflix Streaming

2010



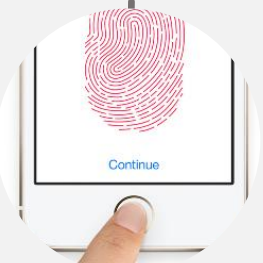
Uber

2012



Apple iPad

2013



Apple TouchID

2015



Apple Watch



# AI Hype



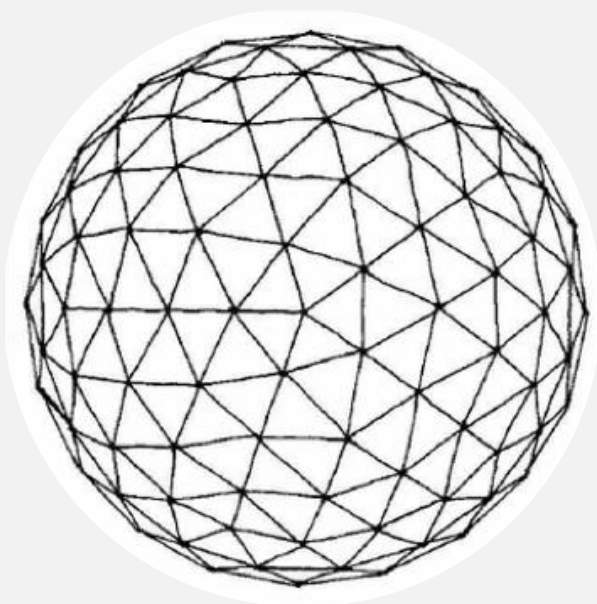
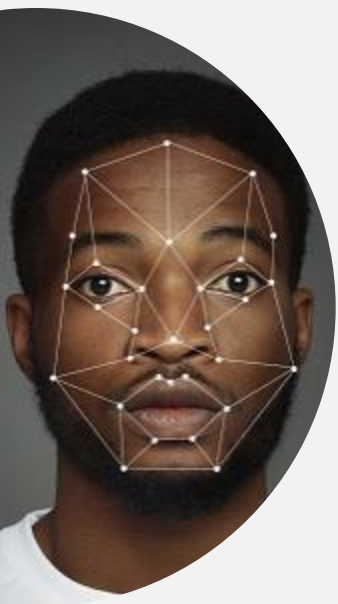
“Adjusts settings, based on the load, to provide the most optimized washing cycle.”



“We overestimated the arrival of autonomous vehicles.” - Ford CEO Jim Hackett

**Hype surrounding AI has peaked & troughed over the years as the abilities of the technology get overestimated and then re-evaluated.** [bbc.com/news/technology-51064369](https://www.bbc.com/news/technology-51064369)

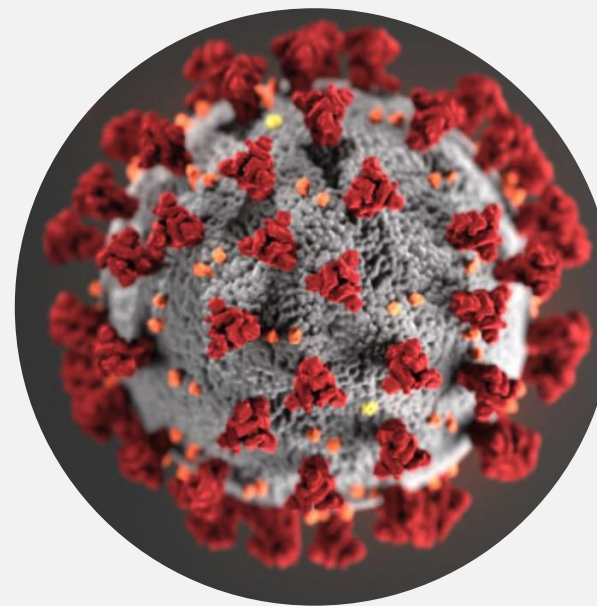




## What is a Pattern?

*A pattern is the opposite of a chaos; it is an entity vaguely defined, that could be given a name.*

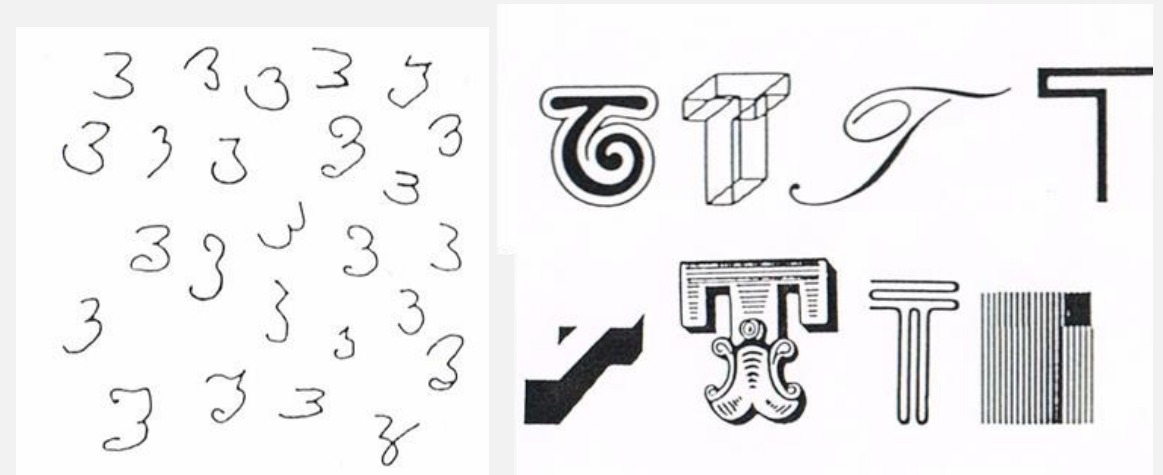
**S. Watanabe, 1985**





# Pattern Class

- Collection of **similar**, not necessarily identical, patterns
- Class is defined by a **model or examples**
- How to define **similarity**, fundamental to intelligent systems



American Elm



Ginkgo



Willow



Spruce



Larch



Birch



Palm



# Intra-class Variability



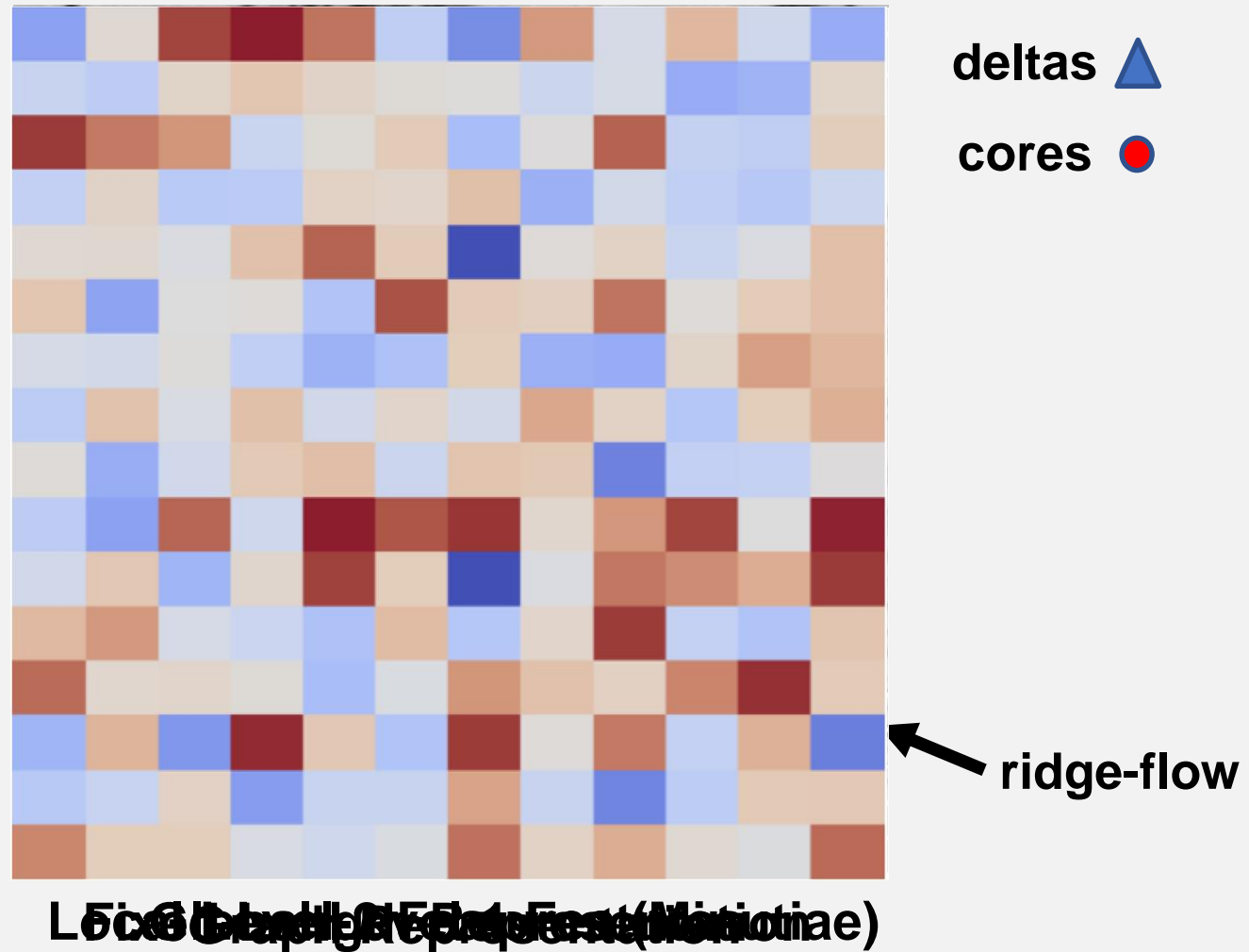


## Inter-Class Similarity

**Learn a compact & discriminative representation for pattern classes**

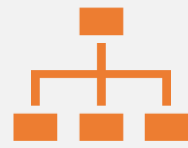


# Representation, Matching and Similarity

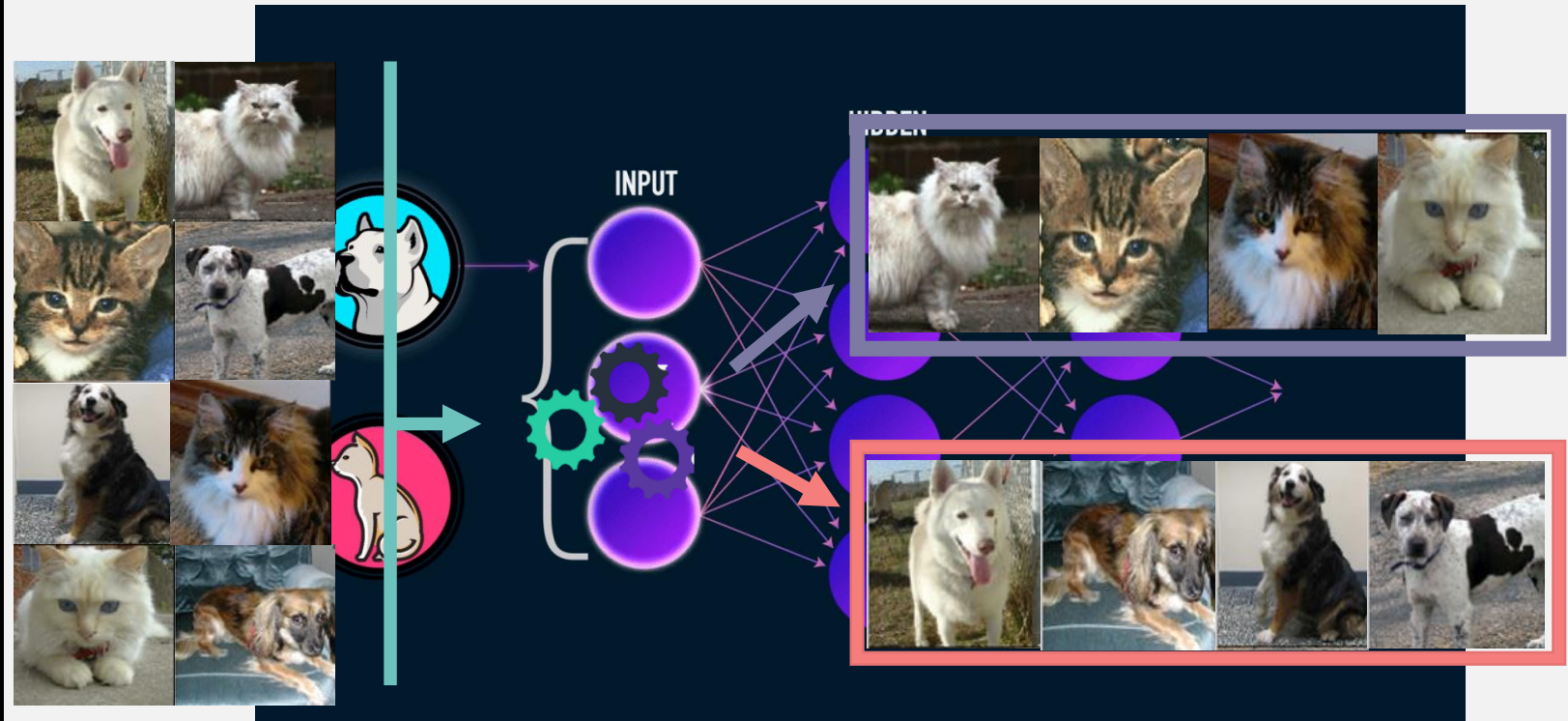


**Fusion of multiple representations can boost recognition performance**

# Recognition (Learning)



Assign patterns to known classes (**classification**) or group them to define classes (**clustering**)



Clustering (Unsupervised learning)

# Model-driven Approach: Linear Discriminant (1936)



Fisher (1890-1962)

**Input:** Features  $(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n)$   
Labeled data (by pattern class) for 2 classes  
Statistical model:  $N(\mu_1, \Sigma)$  and  $N(\mu_2, \Sigma)$

**Output:** Class label of the input

**Learning:** Estimate model parameters  $(\mu_1, \mu_2, \Sigma)$

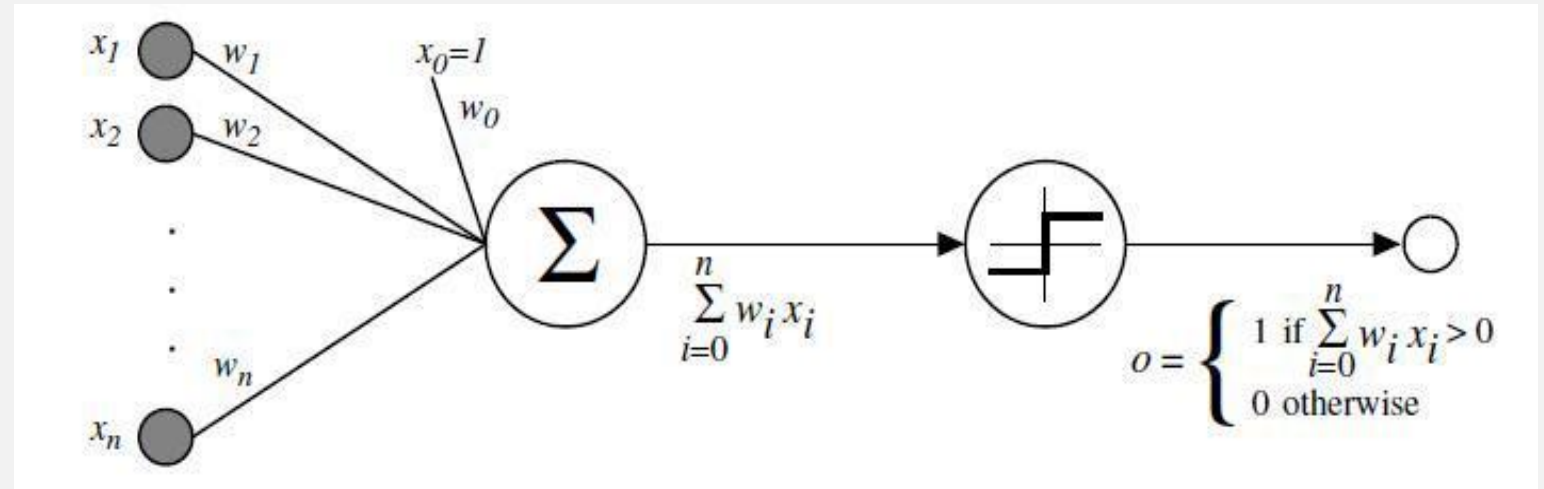


# Data-Driven Approach: Perceptron (1958)

First biologically motivated network that learns to classify patterns



Rosenblatt (1928-1971)



**Input:** Features ( $x_1, x_2, \dots, x_n$ )

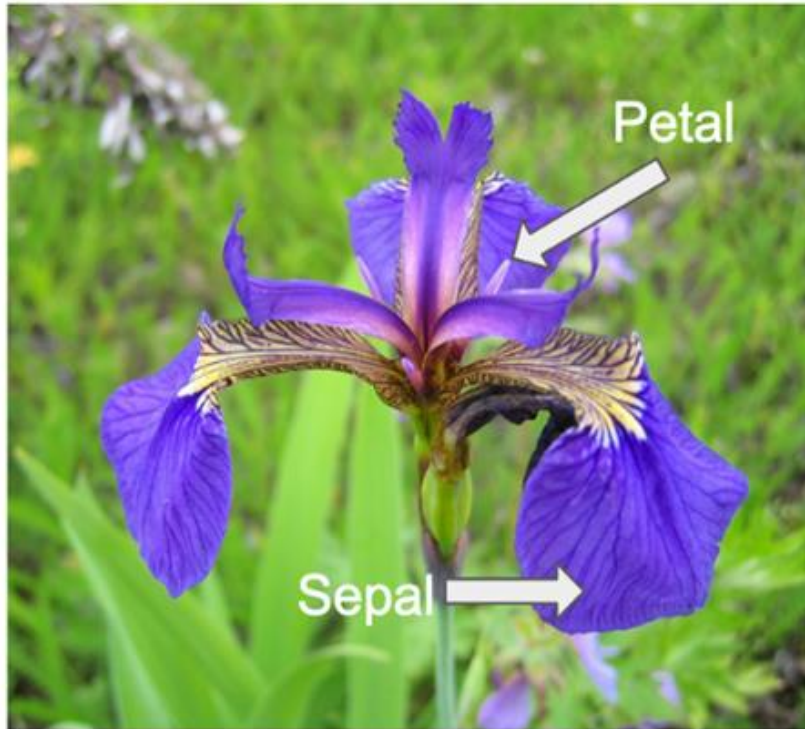
Labeled data

**Output:** Class label of the input

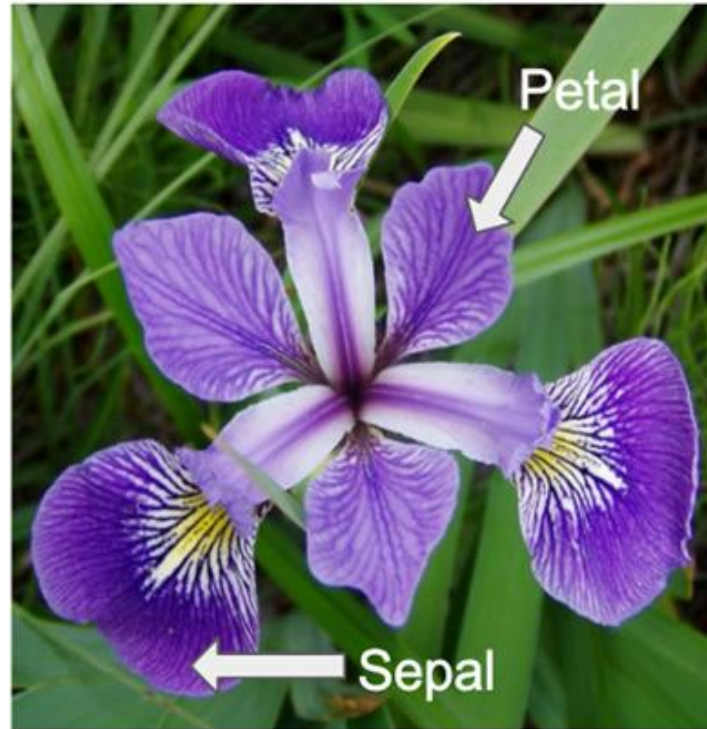
**Learning:** Network weights ( $w_0, w_1, \dots, w_n$ )

# Fisher's Iris Data

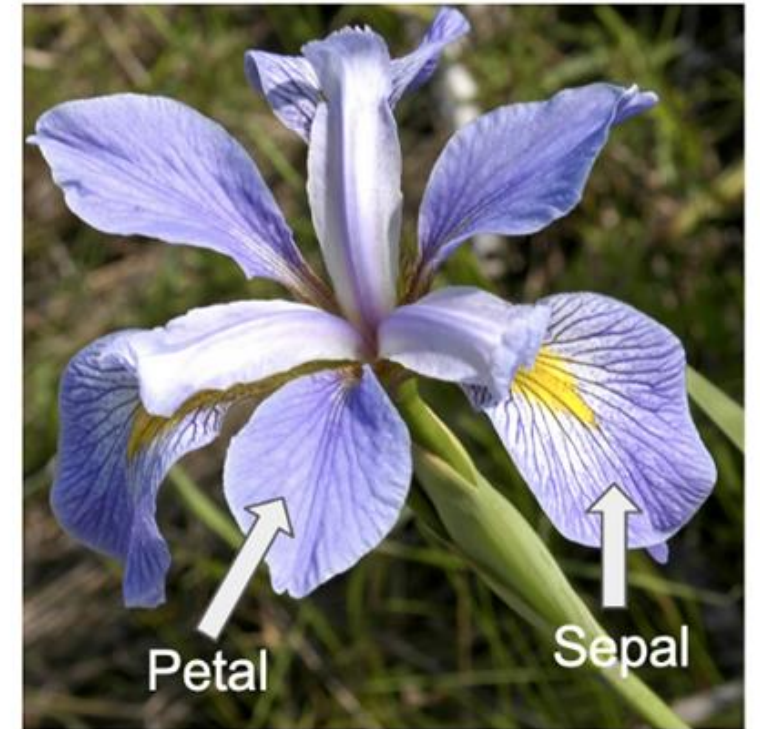
*Iris setosa*



*Iris versicolor*

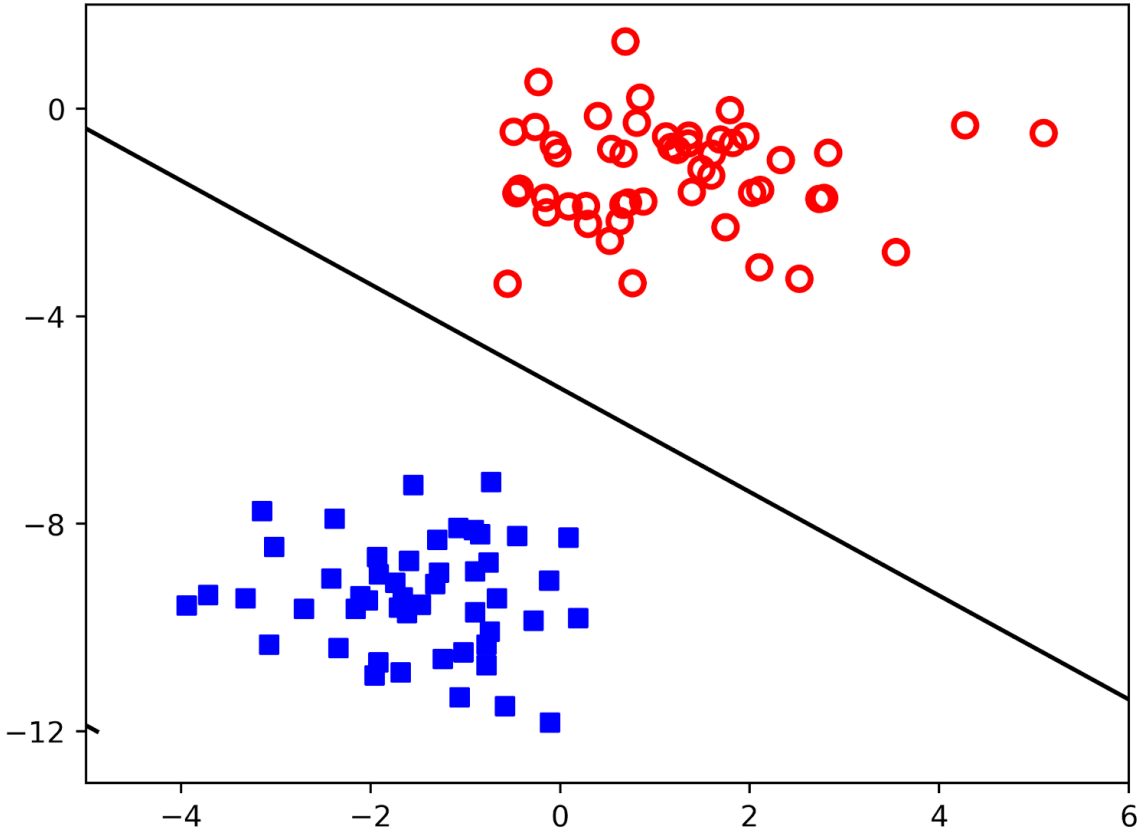


*Iris virginica*

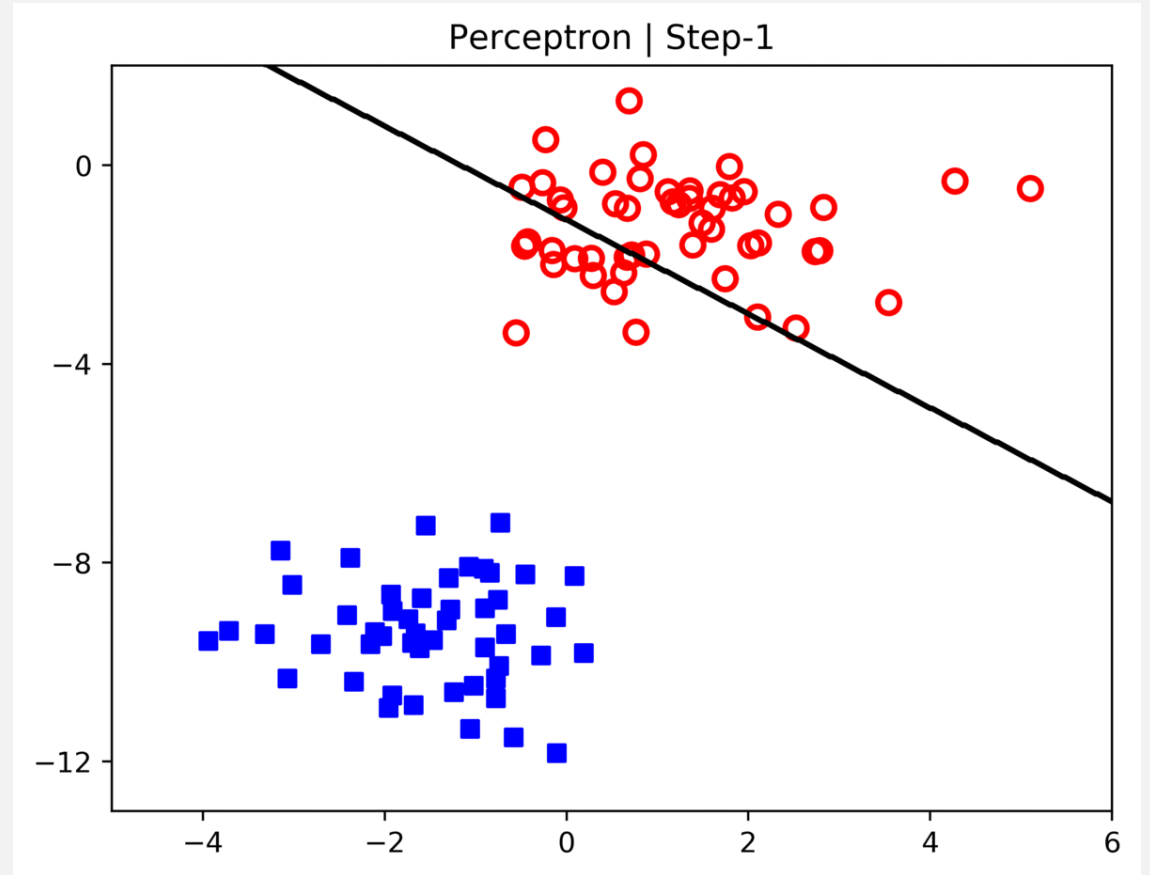


4 features (sepal length and width, petal length and width), 50 samples/class

# Linearly Separable Data



Estimate  $(\mu_1, \mu_2, \Sigma)$



Perceptron Learning Algorithm

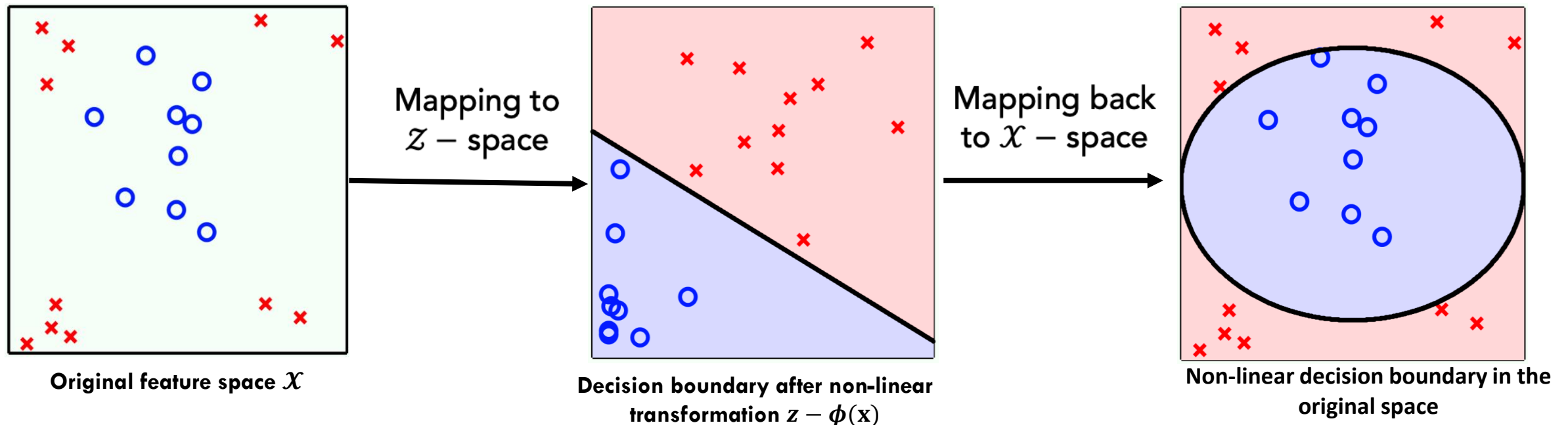
**Linear Discriminant and Perceptron do not work for non-linearly separable data**



# Linear to Quadratic Classifiers and SVM

**Statistical model:**  $N(\mu_1, \Sigma_1)$  and  $N(\mu_2, \Sigma_2)$

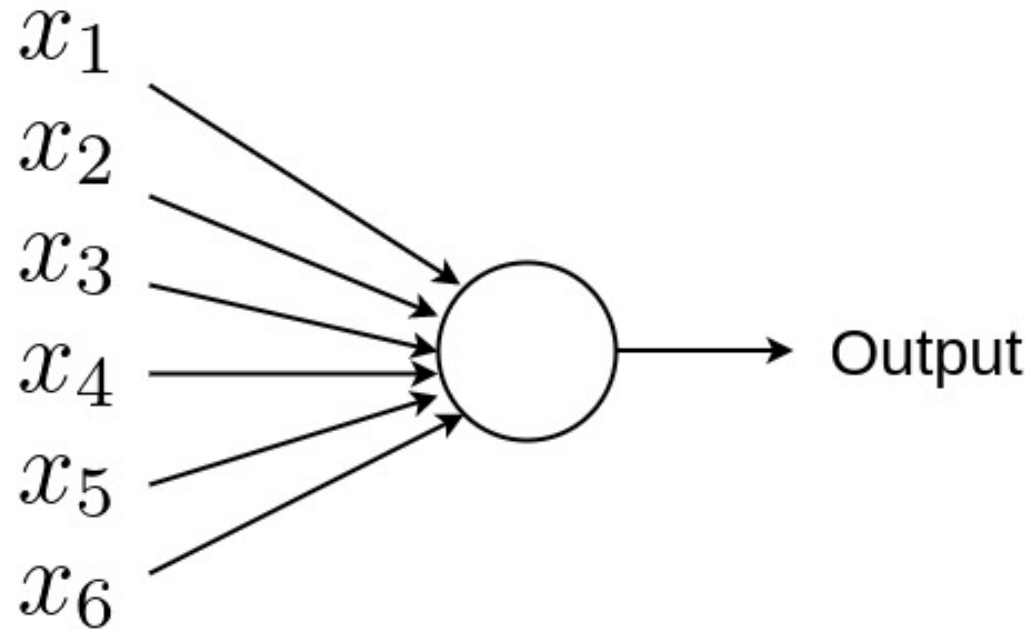
**Nonlinear kernel:** Transform data to linearly separable space



Abu-Mostafa, Magdon-Ismail, Lin, "Learning from Data", AML Book, 2012

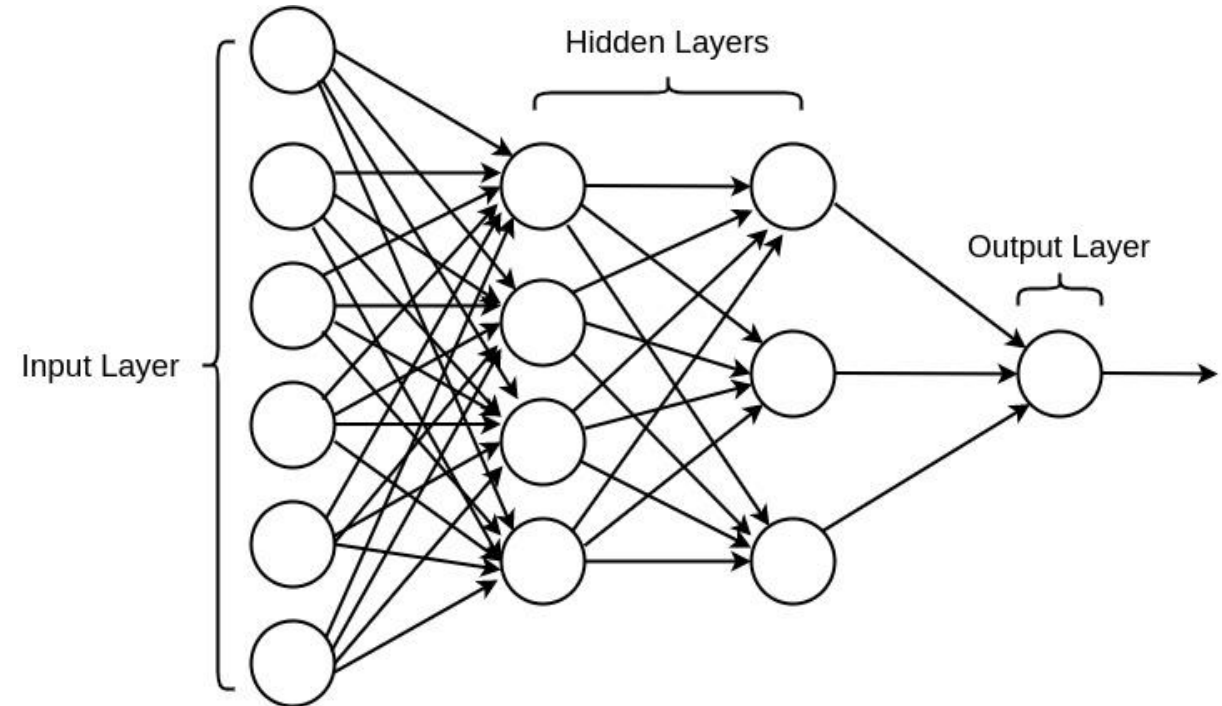
T. W. Anderson, "Classification into Multivariate Normal Distributions with Unequal Covariance Matrices, JASA, 1960

# Perceptron to Multi-layer Neural Networks



**Perceptron**  
**(7 parameters to learn)**

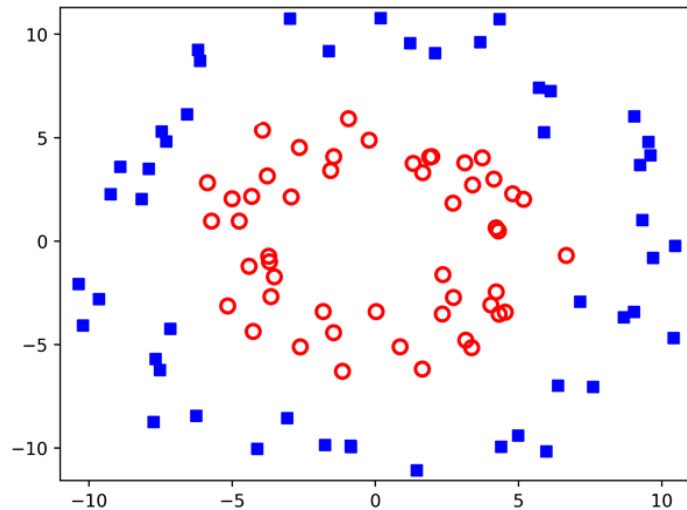
Rosenblatt's Perceptron learning algorithms



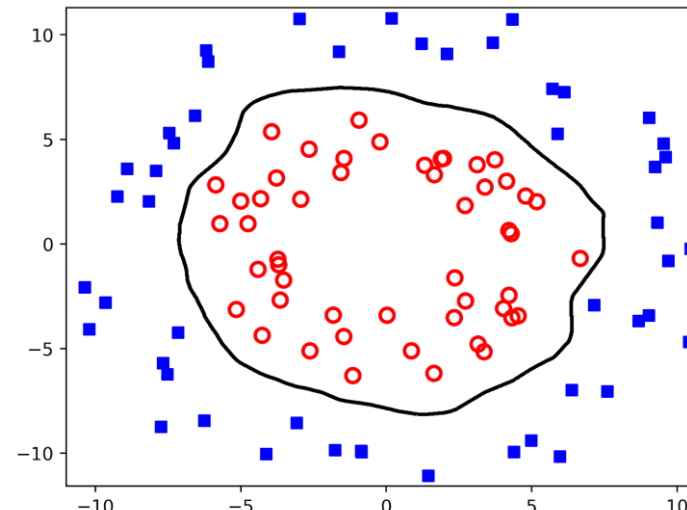
**2-Hidden layer neural network**  
**(47 parameters to learn)**

Backpropagation learning algorithm:  
Werbos, 1974; Rumelhart, Hinton & Williams, 1986

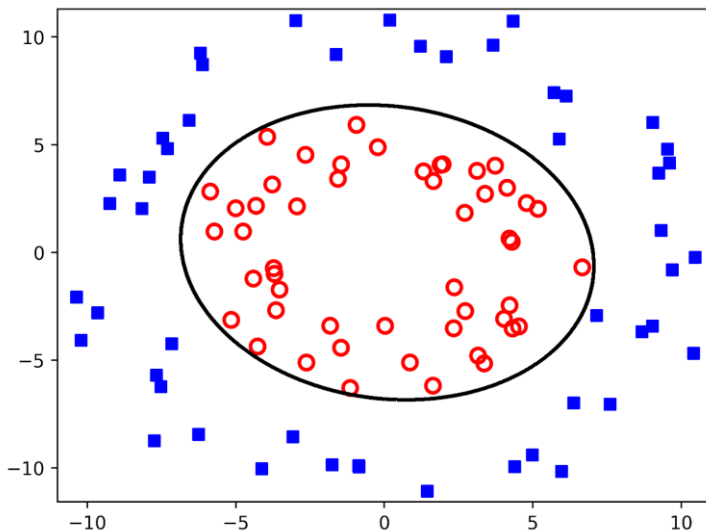
# Non-Linearly Separable Data



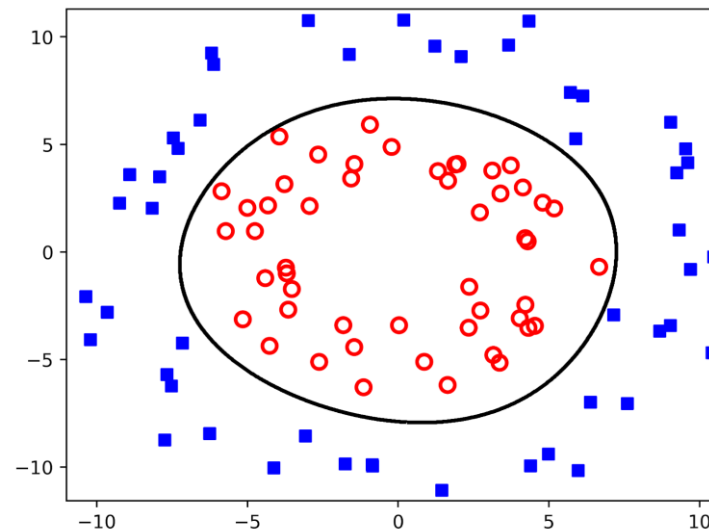
Input Data



2-Hidden Layer Network



Quadratic Classifier

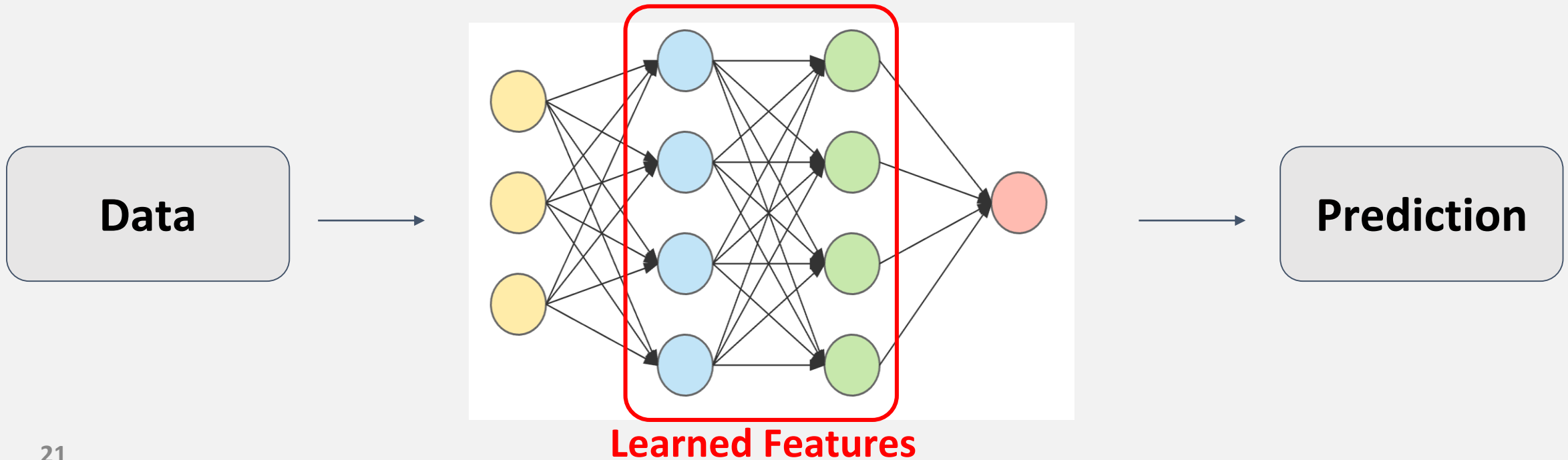
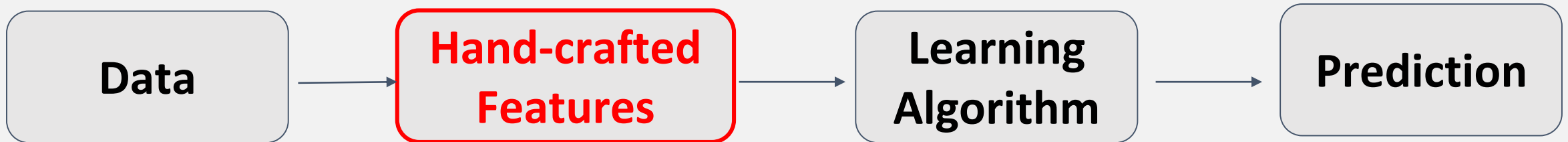


SVM



# Deep Networks

End-to-end approach to jointly learn features and predictor



# Why are Deep Networks So Popular?

## Large-scale annotated datasets

- ImageNet: 14M *images* from 22K *classes* collected from the web



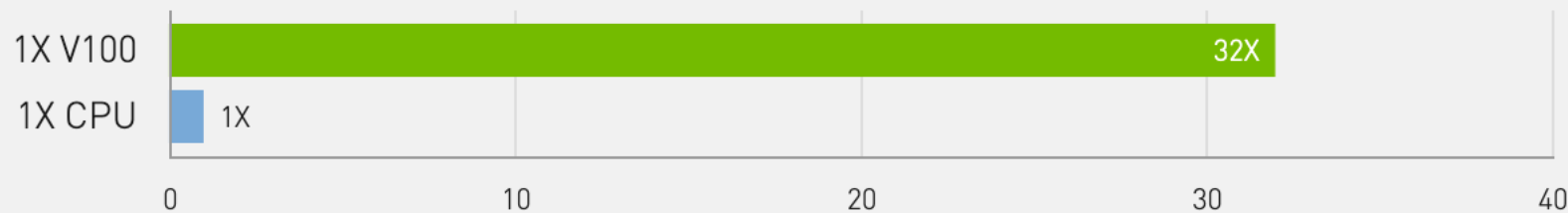


# Why are Deep Networks So Popular?

**Faster Computation**



32X Faster Training Throughput than a CPU



23

**NVIDIA Tesla V100**

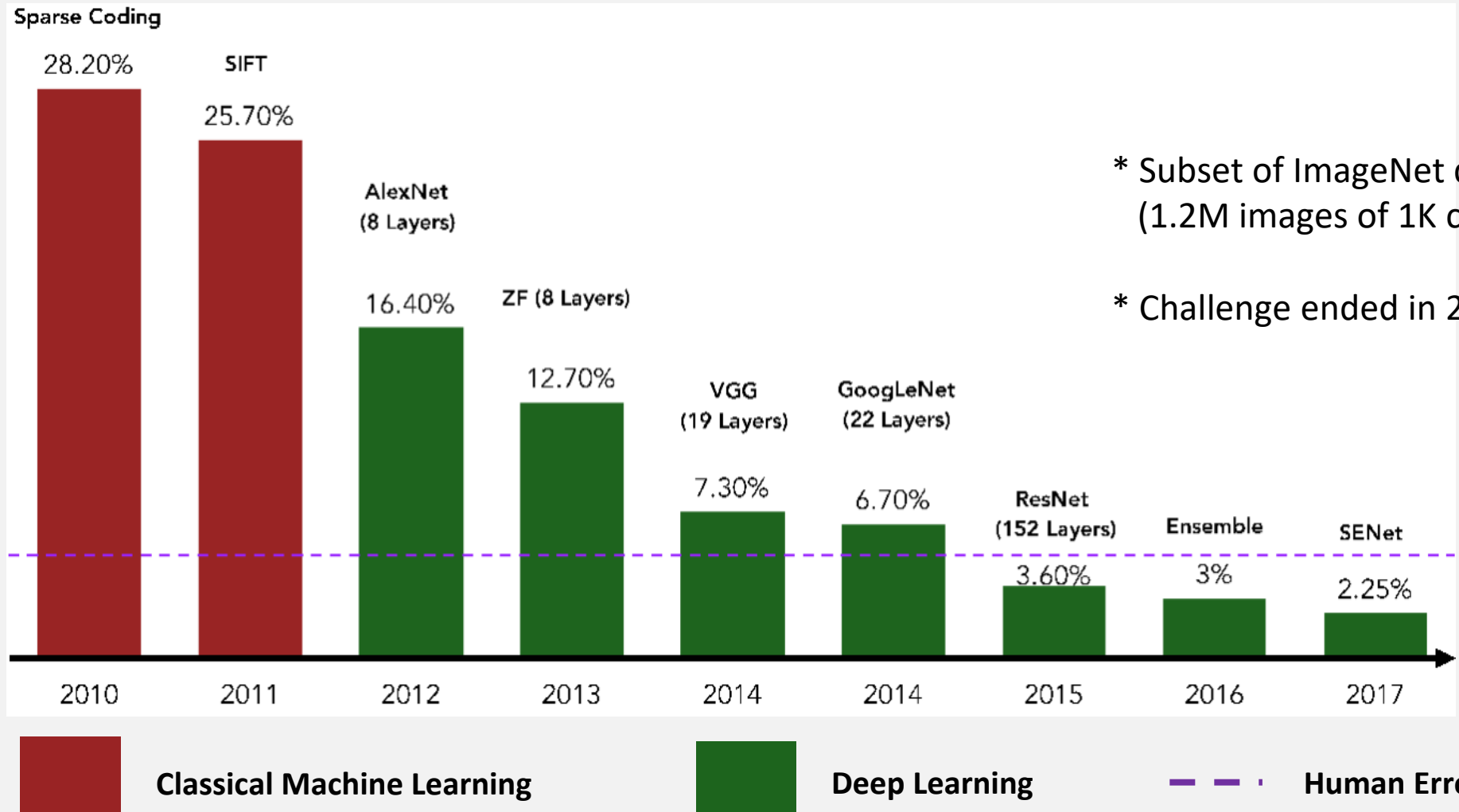
**RAM: 32-64 GB**  
**Tensor Performance: 100 TFLOPS**  
**Memory Bandwidth: 900GB/s**  
**Cost: \$10,664**

23



# Why are Deep Networks So Popular?

Top-5 Classification Error Rates (%) on ImageNet Large-Scale Visual Recognition Challenge (ILSVRC)\*



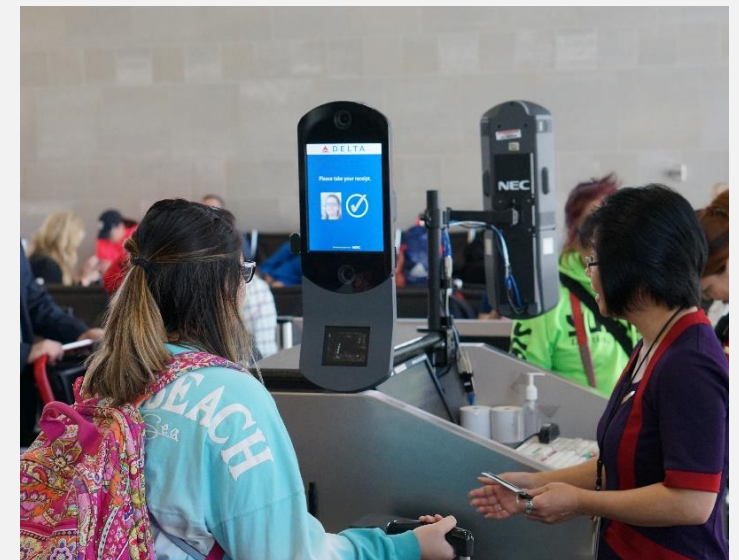
# Automated Face Recognition



**Networked CCTV cameras**



**Entry into the United States**



**Exit from the United States**

# Face Search

Probe

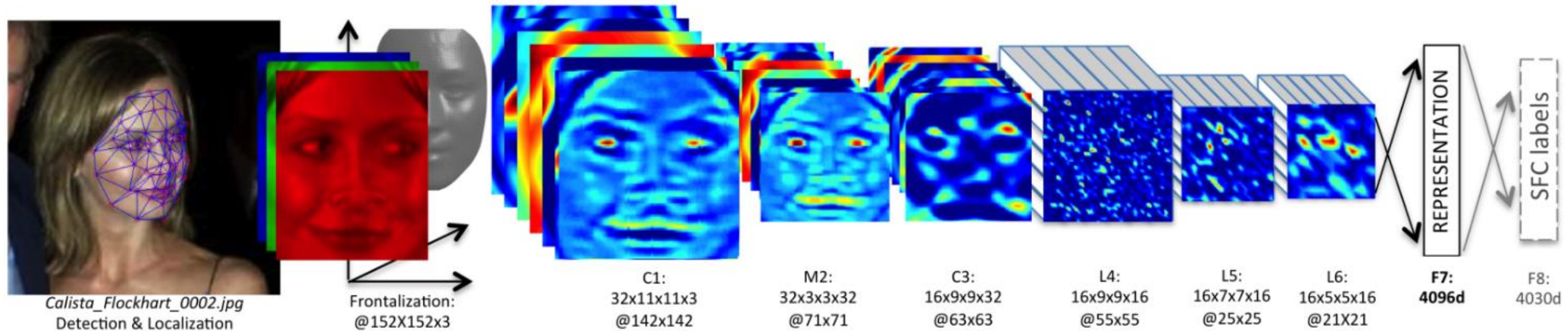
Gallery



Find a person of interest



# DeepFace



**Multiple layers of neurons stacked together and connected to a small area in previous layer (120M parameters)**

# State-of-the-Art: Authentication

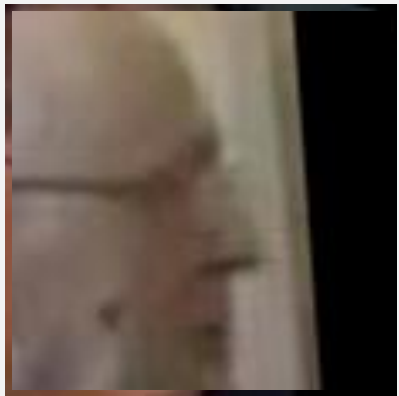


**LFW (2009) TAR = 99.2% @ FAR = 0.1%**

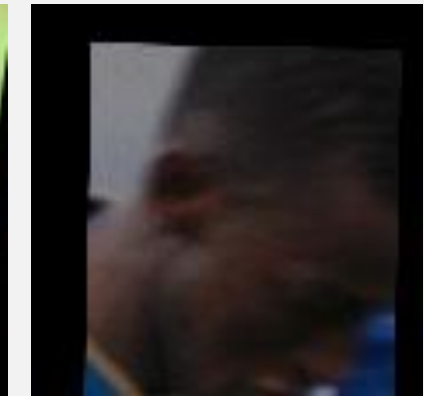
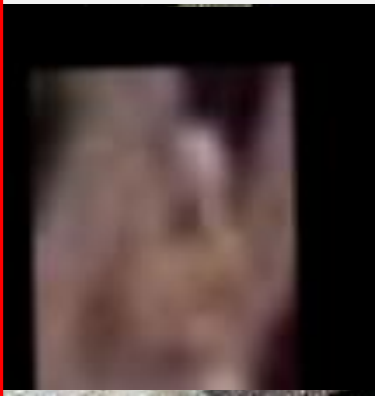


**NIST IJB-S (2018) TAR = 4.86% @ FAR = 0.1%**

# State-of-the-Art: Search



Probe



Top-5 Retrievals

Results on IJB-C using ArcFace\* (rank-1 search accuracy = 94.5%)



# Interpretability

## What kind of faces does the network see?

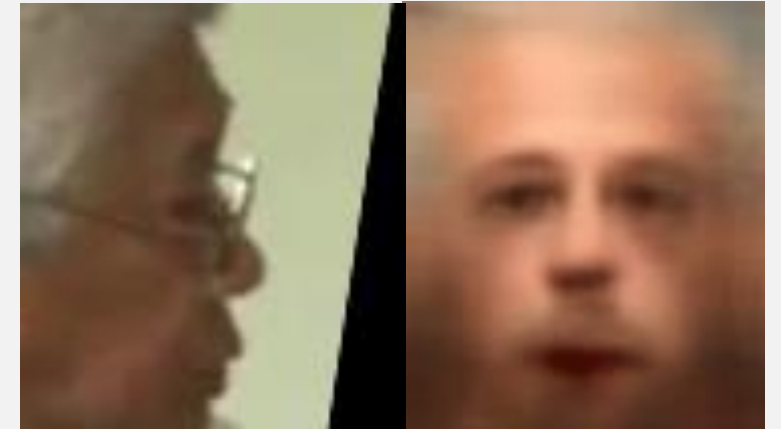
- reconstructing the potential appearance from deep face features



High Quality



Medium Quality



Poor Quality

**Visualizing CosFace\* features via a decoder trained on MS-Celeb-1M (5.8M images of 85K subjects)**

\*CosFace: H. Wang, Y. Wang, Z. Zhou, X. Ji, D. Gong, J. Zhou, Z. Li, and W. Liu. "Cosface: Large margin cosine loss for deep face recognition." In CVPR, 2018.

Y. Shi and A. K. Jain, "Probabilistic Face Embeddings", ICCV 2019.

# Fairness: Demographic Bias

→ At most 1% difference in accuracies between race and gender classes

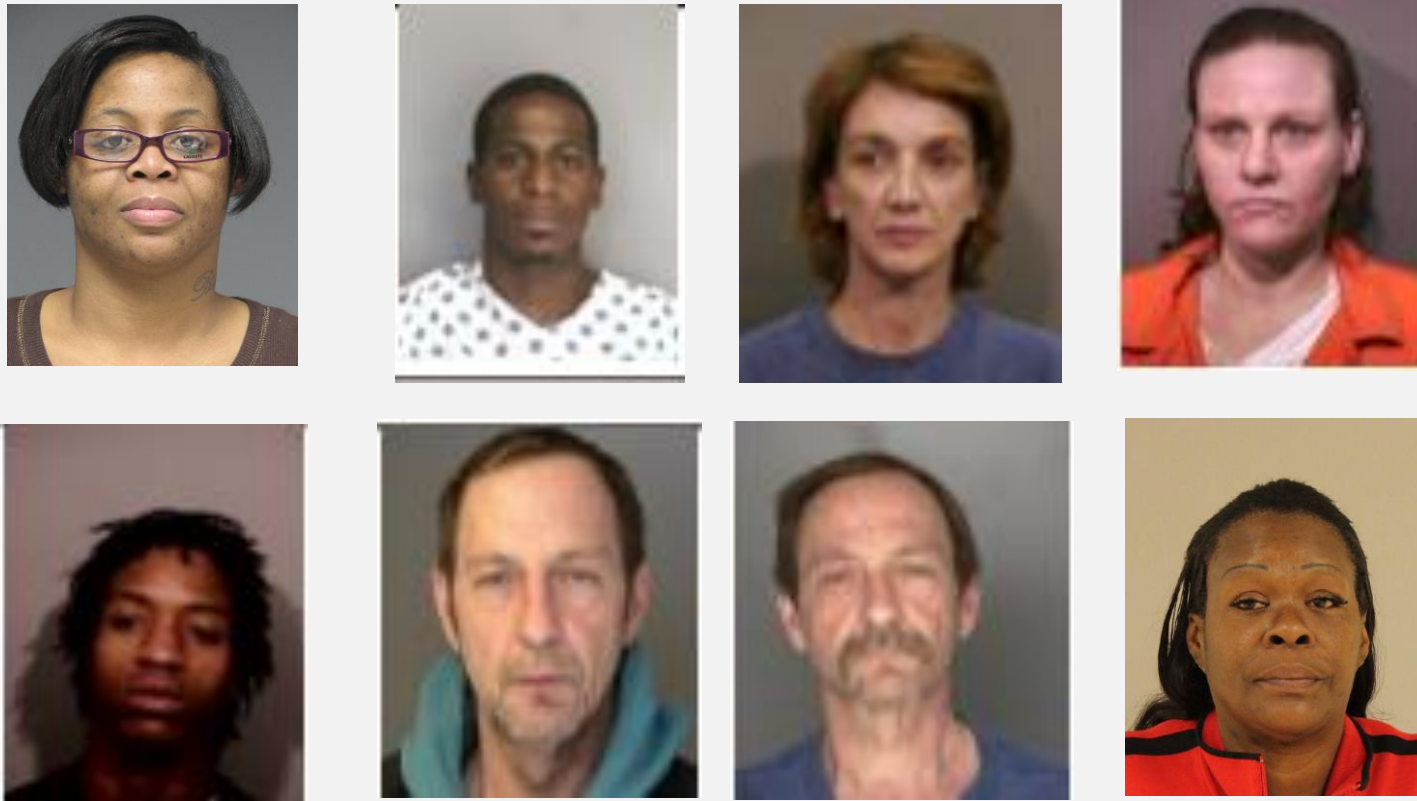
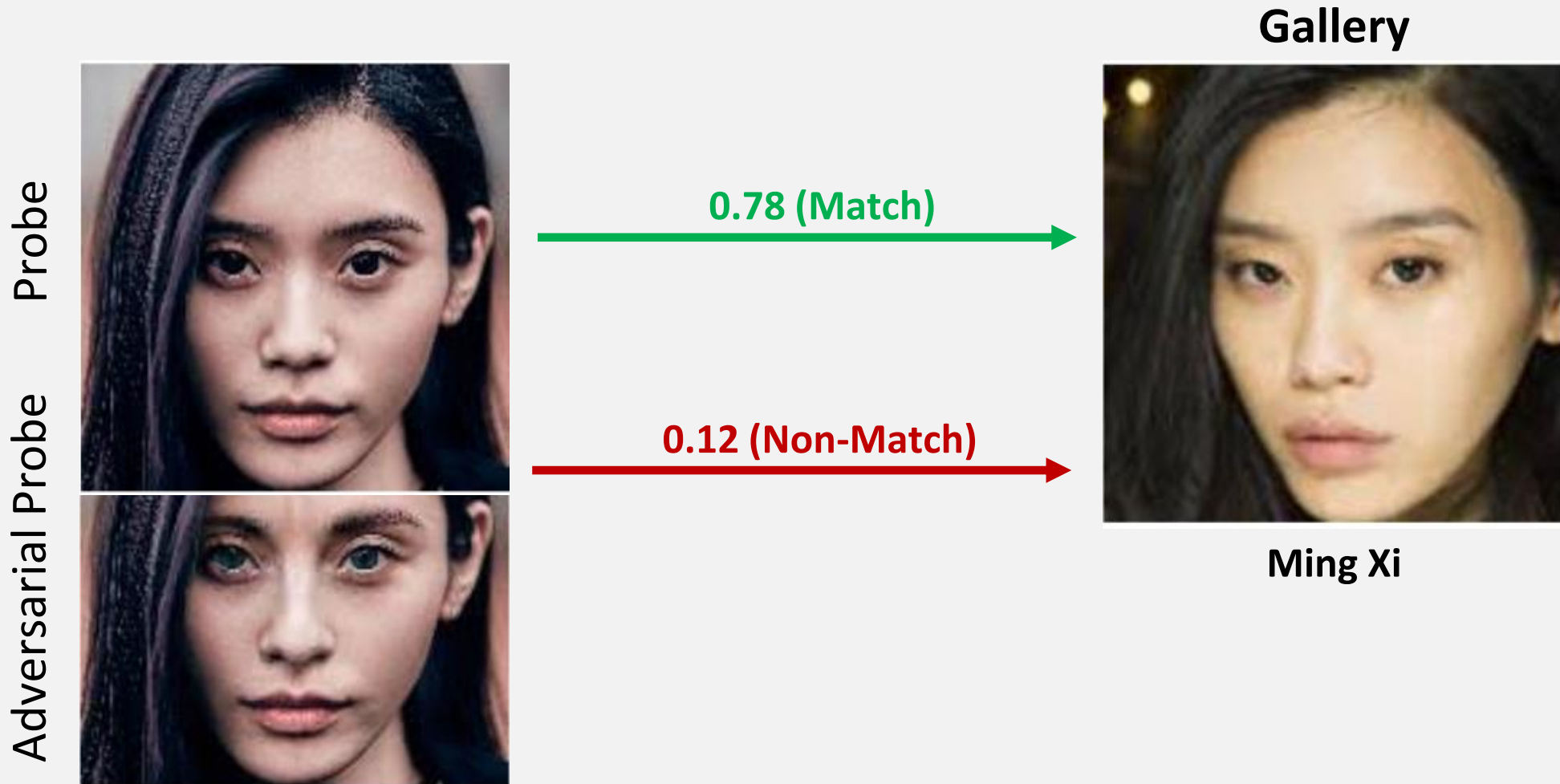


Figure 64: “For the mugshot images, error tradeoff characteristics for **white females, black females, black males and white males.**”, NIST.gov Face Recognition Vendor Test (FRVT) 1:1 Ongoing, Nov. 11, 2019

# Digital Image Manipulation





# Security vs. Privacy



# Summary

- Many of our daily tasks involve recognizing patterns: faces, vehicles, pedestrians, voice, trees, buildings,...
- Two approaches: Model-based & data-driven (deep networks)
- Training a recognition algorithm needs large labeled data
- DNs are now popular: (i) no modelling, (ii) access to large data
- DNs provide state-of-the-art: object, face & speech recognition
- DNs are “brittle” and cannot explain their actions
- Another **AI Winter**? (1974–1980; 1987–1993)

# Next Decade of AI

- **Access to labeled data:** Utilize synthetic & unlabeled data
- **Domain knowledge:** Combine top-down & bottom-up
- **Network capacity:** How many pattern classes can it separate?
- **Adversarial attacks:** Brittle to robust networks
- **Explainability:** How does a network make a decision?
- **User privacy:** Safeguard users' private data
- **Global good:** Design AI to improve lives of extremely poor (~1bn)